Exhibit 1

SOUTHERN DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK	
	- x
In re	:
SKAT TAX REFUND SCHEME LITIGATION	: Master Docket 18-md-2865 (LAK) ECF Case
	: v

NOTICE TO TAKE DEPOSITION OF SKATTEFORVALTNINGEN

Please take notice that, pursuant to Federal Rule of Civil Procedure 30(b)(6), Defendants listed on Schedule B, appended hereto, will take the deposition upon oral examination of Plaintiff Skatteforvaltningen ("SKAT") on the matters listed in the attached Schedule A, on December 22 and December 23, 2020, or such other date as agreed to by the parties or ordered by the Court, commencing at 9:00 am and continuing from day to day thereafter until completed. The deposition will be taken before a court reporter authorized by law to administer oaths for use in the above litigation as provided in the Federal Rules of Civil Procedure. The deposition shall be recorded by stenographic and videotape methods, as well as Live Note.

Dated: November 13, 2020 S/ Mark D. Allison

Mark D. Allison CAPLIN & DRYSDALE, CHARTERED 600 Lexington Avenue, 21st Floor New York, New York 10022 Email: mallison@capdale.com

Phone: (212) 379-6060 Fax: (212) 379-6001

Lead Counsel for Defendants

SCHEDULE A

DEFINITIONS

Notwithstanding any definition set forth below, each word, term, or phrase used in this Schedule is intended to have the broadest meaning permitted under the Federal Rules of Civil Procedure and the Local Rules of the United States District Court for the Southern District of New York. As used in this Schedule, the following terms are to be interpreted in accordance with these definitions:

- 1. "Claims" means any dividend withholding tax refund claims that any Defendant Pension Plan submitted to SKAT, including but not limited to the dividend withholding tax refund claims that the Payment Agent(s) submitted to SKAT on behalf of the any Defendant Pension Plan, whether such claim is the subject matter of SKAT's Complaints or the payment of which was denied by SKAT.
- 2. "Complaints" means the Complaints or Amended Complaints in the matters listed in Schedule B.
- 3. "**Dividend-arbitrage trading**" means the trading of securities to capture the dividend payment.
- 4. "**Dividends**" means the dividends identified in the Claims concerning the Shares.
- 5. "**Defendant Pension Plan**" means any pension plan named as a defendant in the actions listed in Schedule B.
- 6. "**Refunds**" means any amounts of the withholding tax paid by SKAT pursuant to any Claims.
 - 7. "Relevant Time Period" refers to the time period from March 1, 2005 to present.
 - 8. "Shares" means the shares of Danish stock identified in the Claims.

- 9. "SKAT" refers to the Customs and Tax Administration of the Kingdom of Denmark, including its predecessors and successors, and its representatives, attorneys, agents, servants, employees, investigators, consultants, and counsel (including but not limited to Kammeradvokaten).
- 10. "**SOIK**" refers to the Danish Public Prosecutor for Serious Economic and International Crimes, including its predecessors and successors, and its representatives, attorneys, agents, servants, employees, investigators, consultants, and counsel.
- 11. "You," "Your" and "Yourself" refer to the party or non-party to whom the following discovery requests are addressed, and to such party or non-party's representatives, agents, servants, employees, investigators, consultants, and counsel.

INSTRUCTIONS

In addition to the requirements set forth in the Federal Rules of Civil Procedure, the following instructions apply to each of the deposition topics set forth herein:

- 1. Each topic shall be construed independently, and no topic shall be viewed as limiting the scope of any other topic.
- 2. If You object to a portion or aspect of a deposition topic, state the grounds for Your objection with specificity.
- 3. Unless otherwise specified, the time period for all matters is March 1, 2005 to the present.

AREAS OF DESIGNATION AND INOUIRY

You are required pursuant to Rule 30(b)(6) to produce one or more person or persons who consent to testify on Your company's behalf, to testify to all facts known or reasonably available to Your company concerning the matters identified below.

1. The factual basis for allegations in the Complaints and the complaints filed by

SKAT in the High Court of Justice Business and Property Court of England and Wales, captioned *Skatteforvaltningen* (the Danish Customs and Tax Administration) v. Solo Capital Partners LLP et al., Claim Nos. CL-2018-000297, CL-2018-000404, CL-2018-000590, and CL-2019-000487 (High Ct. of Justice, Bus. & Prop. Cts. of England & Wales).

- 2. SKAT's policies, procedures, practices, protocols, or processes for processing, reviewing, approving or denying, and paying dividend withholding tax refunds and/or claims during the Relevant Time Period. This Topic should be understood to include, but not be limited to, any modifications made to the relevant policies, procedures, practices, protocols, or processes during the relevant time period; written policies and procedures governing that process; and personnel responsible for that process.
- 3. Standards, rules, and protocols governing the entitlement to dividend withholding tax refunds, including but not limited to standards, rules, and protocols for determining ownership of shares and entitlement to dividends.
- 4. SKAT's system(s) for verifying ownership of shares, receipt of dividends, and withholding of taxes paid for purpose of assessing entitlement to dividend withholding tax refunds.
- 5. The factual basis for any allegation that any Defendant Pension Plan was not the owner (including the beneficial owner) of the shares in a Danish company for which a dividend reclaim application was submitted.
- 6. The factual basis for any allegation that any Defendant Pension Plan never received and was not the beneficial owner of any dividend from a Danish company in respect of whose shares the Defendant Pension Plan submitted an application for dividend tax reclaim.
- 7. SKAT's controls related to the collection and/or payment of withheld tax refunds and/or claims.

- 8. The volume of dividend withholding tax refunds and/or claims processed by SKAT between 2010 and 2015, including but not limited to the total number of claims submitted, the number of claims approved, and the number of claims denied, and the reasons why claims may have been approved or denied.
- 9. The dividend withholding tax collected by SKAT in connection with each of the dividends declared by the publicly traded Danish companies identified in RFP No. 18 of Defendants' Second Set of RFPs to SKAT at their Annual General Meetings held on the dates listed in that RFP.
- 10. All investigations, reports, audits, or analyses drafted or conducted by or on behalf of SKAT or the Ministry of Taxation regarding the payment of dividend withholding taxes by listed Danish companies to SKAT and dividend withholding tax refunds paid to any claimants, including but not limited to the Rigsrevisionen report of SKAT's 2015 investigation of SKAT and the Ministry of Taxation's roles in the administration of dividend withholding tax refunds, internal audit reports regarding the dividend withholding tax, and the catalog produced in 2006 with suggestions on how the holes in the dividend tax could be closed ("Problemkatalog"), as well as any remedial or other actions taken in response to any such investigation, report, audit, or analysis.
- 11. Any "early warning" issued by SKAT to the Ministry of Taxation regarding SKAT's payment of dividend withholding tax refunds, any application of or decision not to apply any such early warning, and any response thereto by the Ministry of Taxation.
 - 12. Communications between employees or representatives of SKAT and employees

² See SKAT MDL 001 00280953 (2001); SKAT MDL 001 00281008 (2002); SKAT MDL 001 00281025 (2006); SKAT MDL 001 00281058 (2010); and SKAT MDL 001 00281103 (2012, 2013); and SKAT MDL 001 0075835 (2015).

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¹ See SKAT MDL 001 0075124.

³ See https://www.dr.dk/nyheder/penge/udbytteskandale-kunne-formentlig-vaere-undgaaet-loesningskatalog-laa-klar-

or representatives of any arm of the Danish government, including but not limited to the Ministry of Taxation and SOIK, regarding SKAT's payment of dividend withholding tax refunds and/or claims.

- 13. Communications between employees or representatives of SKAT and employees or representatives of any arm of the United States government, including but not limited to the United States Internal Revenue Service, regarding the Plans and/or the Claims.
- Agreements between SKAT and any arm of the Danish government, including but 14. not limited to the Ministry of Taxation and SOIK, regarding SKAT's authority to bring claims on behalf of any arm of the Danish government regarding the payment of dividend withholding tax refunds and/or claims to U.S. pension plans or tax-exempt organizations, as defined by Section 501(c)(3) of the Internal Revenue Code.
- 15. Communications between SKAT and foreign government officials (including but not limited to representatives of foreign governments, foreign regulators, foreign tax officials, and foreign criminal authorities) concerning dividend-arbitrage trading, including but not limited to dividend-arbitrage trading as a method of tax evasion or avoidance, proposals to curb or prohibit dividend-arbitrage trading, and potential damages caused by dividend-arbitrage trading.
- 16. SKAT's ownership, possession, authority, or control over revenues received through the assessment and collection of taxes.
- 17. SKAT's transfer or distribution of revenues received through the assessment and collection of taxes to any arm of the Danish government.
- 18. All investigations, reports, or analyses, formal or informal, drafted or conducted by or on behalf of SKAT regarding any Defendant's ownership or beneficial ownership of shares in any Danish company.

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- 19. The factual basis for the allegations in the Complaints that SKAT discovered in June 2015 that claimants may have submitted allegedly fraudulent tax refund claims.
- 20. The factual basis for the reporting by SKAT to SOIK of the "alleged fraud" on or about August 24, 2015.
- 21. The rationale and process for revoking the prior approval of the Defendants' dividend withholding tax claims and mechanism for enforcing that revocation.
- 22. The factual basis for any assertion in a Complaint that any Defendant Pension Plan "did not meet the criteria for a qualified pension plan set forth in section 401(a) of the Internal Revenue Code and purportedly carried on debt-financed activities in breach of the Treaty's prohibition on such activities by a pension plan."
- 23. The factual basis for any allegation that a Defendant Pension Plan "cannot have obtained funding from a proper source and cannot have complied with the contribution rules in section 401(a) of the Internal Revenue Code."
- 24. The factual basis for any allegation that SKAT paid monies to any Defendant Pension Plan on the basis of "mistaken understandings of fact" including, without limitation, the identification of the "mistaken understandings of fact" and the factual bases for the allegation that SKAT had a "mistaken understanding that the Defendants had submitted valid claims with supporting documentation."

SCHEDULE B

		Authorized	Other	Payment	Broker-
Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
18-cv-04047	The Bradley London Pension Plan	Doston Bradley	Doston Bradley	Syntax GIS	Solo Capital Partners LLP; Old Park Lane Capital PLC
18-cv-04049	The DMR Pension Plan	Doston Bradley	Doston Bradley	Syntax GIS; Acupay System LLC	Old Park Lane Capital PLC
18-cv-04050	The Houston Rocco LLC 401K Plan	Doston Bradley	Doston Bradley	Syntax GIS	Solo Capital Partners LLP; Old Park Lane Capital PLC
18-cv-04051	The Proper Pacific LLC 401K Plan	Doston Bradley	Doston Bradley	Goal TaxBack Limited	Telesto Markets LLP; Old Park Lane Capital PLC
18-cv-04052	The LBR Capital Pension Plan	Doston Bradley	Doston Bradley	Goal TaxBack Limited	West Point Derivatives Ltd; Old Park Lane Capital PLC
18-cv-04430	The Atlantic DHR 401K Plan	Doston Bradley	Doston Bradley	Acupay System LLC	Old Park Lane Capital PLC
18-cv-04434	The Stor Capital Consulting LLC 401K Plan	Michael Ben- Jacob	Michael Ben- Jacob; Robert Klugman	Goal TaxBack Limited	Telesto Markets LLP
18-cv-04522	The Busby Black 401K Plan	Doston Bradley	Doston Bradley	Syntax GIS	West Point Derivatives Ltd
18-cv-04531	The Canada Rock LLC 401K Plan	Doston Bradley	Doston Bradley	Syntax GIS	Old Park Lane Capital PLC
18-cv-04536	The ISDB Pension Plan	Doston Bradley	Doston Bradley	Syntax GIS	West Point Derivatives Ltd
18-cv-04538	The Monin Amper Pension Plan	Doston Bradley	Doston Bradley	Syntax GIS	Solo Capital Partners LLP
18-cv-04541	The NYC Stanismore Pension Plan	Doston Bradley	Doston Bradley	Syntax GIS	West Point Derivatives Ltd
18-cv-04543	The Texas Rocco LLC 401K Plan	Doston Bradley	Doston Bradley	Syntax GIS	Solo Capital Partners LLP
18-cv-04767	Sanford Villa Pension Plan	Roger Lehman	Roger Lehman	Syntax GIS	Old Park Lane Capital PLC
18-cv-04770	The Aston Advisors LLC 401K Plan	Roger Lehman	Roger Lehman	Syntax GIS	Telesto Markets LLP; Old Park Lane Capital PLC
18-cv-04771	The Sector 230 LLC 401K Plan	Jonathan Godson		Goal TaxBack Limited	Solo Capital Partners LLP
18-cv-04833	Raubritter LLC Pension Plan		Alexander Burns; Richard Markowitz; John van Merkensteijn	Acupay System LLC	Solo Capital Partners LLP
18-cv-04890	The M2F Wellness LLC 401K Plan	Mitchell Protass	Mitchell Protass	Goal TaxBack Limited	Solo Capital Partners LLP

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Cosa Number	Dlon	Authorized	Other	Payment	Broker-
Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
			Gavin		
10 05106	TP1 IZ 1	D I 1	Crescenzo	A C 4	0110 1 1
18-cv-05186	The Kyber	Roger Lehman	Bradley	Acupay System	Old Park Lane
	Pension Plan		Crescenzo;	LLC	Capital PLC
			Roger Lehman;		
			Gavin		
10 07100	m		Crescenzo		0117 17
18-cv-05188	The Lerici Capital	Roger Lehman	Roger Lehman;	Syntax GIS	Old Park Lane
	Pension Plan		Gavin		Capital PLC
			Crescenzo		
18-cv-05189	The Ludlow	Roger Lehman	Roger Lehman;	Syntax GIS	Solo Capital
	Holdings 401K		Gavin		Partners LLP
	Plan		Crescenzo		
18-cv-05190	The Regoleth	Roger Lehman	Bradley	Syntax GIS	West Point
	Pension Plan		Crescenzo;		Derivatives Ltd
			Roger Lehman;		
			Gavin		
			Crescenzo		
18-cv-05192	The Saba Capital	Roger Lehman	Roger Lehman;	Syntax GIS	Telesto Markets
	LLC 401K Plan		Gavin		LLP
			Crescenzo		
18-cv-05193	The West River	Roger Lehman	Roger Lehman;	Syntax GIS	West Point
	Pension Plan		Gavin		Derivatives Ltd
			Crescenzo		
18-cv-05194	The Stark Pension	Roger Lehman	Bradley	Syntax GIS	Solo Capital
	Plan		Crescenzo;		Partners LLP
			Roger Lehman;		
			Gavin		
			Crescenzo		
18-cv-05299	The Petkov	Roger Lehman	Svetlin Petkov;	Syntax GIS	West Point
	Partners Pension		Roger Lehman		Derivatives Ltd
	Plan				
18-cv-05300	The Petkov	Svetlin Petkov	Svetlin Petkov	Goal TaxBack	Solo Capital
	Management LLC			Limited	Partners LLP
	401K Plan				
18-cv-05305	The SVP 401K	Roger Lehman	Svetlin Petkov;	Syntax GIS	Telesto Markets
	Plan		Roger Lehman		LLP
18-cv-05307	The Krabi	Jonathan Godson	Gavin	Goal TaxBack	Solo Capital
	Holdings LLC		Crescenzo	Limited	Partners LLP
	401K Plan				
18-cv-05308	The SPKK LLC	Roger Lehman	Svetlin Petkov;	Syntax GIS	Old Park Lane
	401K Plan		Roger Lehman		Capital PLC
18-cv-05309	The KASV Group	Roger Lehman	Svetlin Petkov;	Goal TaxBack	Old Park Lane
	Pension Plan		Roger Lehman	Limited	Capital PLC
18-cv-05374	Del Mar Asset	David Freelove	David Freelove	Goal TaxBack	ED & F Man
	Management			Limited	Capital Markets
	Saving &				Limited
	Retirement Plan				
18-cv-07824	Headsail	Robert Klugman	Robert	Acupay System	Solo Capital
	Manufacturing		Klugman;	LLC	Partners LLP; Old
	LLC Roth 401K		Michael Ben-		Park Lane Capital
	Plan		Jacob		PLC
18-cv-07827	Edgepoint Capital	Robert Klugman	Robert	Syntax GIS	West Point
	LLC Roth 401K		Klugman;		Derivatives Ltd;

		Authorized	Other	Payment	Broker-
Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
	Plan		Michael Ben-	8(-)	Old Park Lane
			Jacob		Capital PLC
18-cv-07828	Aerovane	Robert Klugman	Robert	Goal TaxBack	Old Park Lane
	Logistics LLC		Klugman;	Limited	Capital PLC
	Roth 401K Plan		Michael Ben-		
			Jacob		
18-cv-07829	The Random	Robert Klugman	Robert	Syntax GIS	West Point
	Holdings 401K		Klugman;		Derivatives Ltd;
	Plan		Michael Ben-		Old Park Lane
18-cv-08655	Federated		Jacob David Freelove	Goal Taxback	Capital PLC ED & F Man
18-00-08033	Logistics LLC		David Freelove	Limited	Capital Markets
	401(K) Plan			Lillinea	Limited
18-cv-09434	SRH Farms LLC	Bluegrass	Bluegrass	Global Equities	ED & F Man
10 00 00 15 1	401(K) Plan	Investment	Investment	GmbH	Capital Markets
	101(12) 11011	Management,	Management,	5111011	Limited
		LLC; Bernard	LLC; Bernard		
		Tew	Tew; George		
			Hofmeister		
18-cv-09439	MGH Farms LLC	Bluegrass	Bluegrass	Global Equities	ED & F Man
	401(K) Plan	Investment	Investment	GmbH	Capital Markets
		Management,	Management,		Limited
		LLC; Bernard	LLC; Bernard		
		Tew	Tew; George		
18-cv-09489	JSH Farms LLC	Bluegrass	Hofmeister Bluegrass	Global Equities	ED & F Man
10-CV-09409	401(K) Plan	Investment	Investment	Gnobal Equities GmbH	Capital Markets
	401(IX) 1 Ian	Management,	Management,	Gillori	Limited
		LLC; Bernard	LLC; Bernard		Biiiitea
		Tew	Tew; George		
			Hofmeister		
18-cv-09490	Triton Farms LLC	Bluegrass	Bluegrass	Global Equities	ED & F Man
	401(K) Plan	Investment	Investment	GmbH	Capital Markets
		Management,	Management,		Limited
		LLC; Bernard	LLC; Bernard		
		Tew	Tew; George		
10 00401	NDII E II C	Diana	Hofmeister	Clabal E'e'	ED 6-EM
18-cv-09491	KRH Farms LLC 401(K) Plan	Bluegrass Investment	Bluegrass	Global Equities	ED & F Man
	401(K) Flaii	Management,	Investment Management,	GmbH	Capital Markets Limited
		LLC; Bernard	LLC; Bernard		Limited
		Tew	Tew; George		
		1011	Hofmeister		
18-cv-09492	Tew, LP	Vincent Tew	Vincent Tew	Global Equities	ED & F Man
	Retirement Plan			GmbH	Capital Markets
					Limited
18-cv-09494	Tew Enterprises,	Andrea Tew	Andrea Tew	Global Equities	ED & F Man
	LLC Retirement			GmbH	Capital Markets
	Plan				Limited
18-cv-09497	Industrial	Bluegrass	Bluegrass	Global Equities	ED & F Man
	Pensions Group	Investment	Investment	GmbH	Capital Markets
	Trust	Management,	Management,		Limited; BNP
		LLC; Bernard	LLC; Bernard		Paribus
		Tew	Tew		

		Authorized	Other	Payment	Broker-
Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
18-cv-09498	Casting Pensions	Bluegrass	Bluegrass	Global Equities	ED & F Man
	Group Trust	Investment	Investment	GmbH	Capital Markets
		Management,	Management,		Limited; BNP
		LLC; Bernard	LLC; Bernard		Paribas
10 00505	CTATE 11'	Tew	Tew	CI I I E	ED 0 E14
18-cv-09505	SV Holdings, LLC Retirement	Stephanie Tew	Stephanie Tew	Global Equities GmbH	ED & F Man
	Plan			GIIIOH	Capital Markets Limited
18-cv-09507	Central	Bluegrass	Bluegrass	Global Equities	ED & F Man
10 21 03507	Technologies	Investment	Investment	GmbH	Capital Markets
	Pensions Group	Management,	Management,		Limited; BNP
	Trust	LLC; Bernard	LLC; Bernard		Paribas
		Tew	Tew		
18-cv-09511	Bluegrass	Bluegrass	Bluegrass	Global Equities	ED & F Man
	Retirement Group Trust	Investment	Investment	GmbH	Capital Markets
	Trust	Management, LLC; Bernard	Management, LLC; Bernard		Limited; Argon Markets
		Tew	Tew		Ivial KCtS
18-cv-09515	Bluegrass	Bernard Tew	Bernard Tew	Global Equities	ED & F Man
	Investment			GmbH	Capital Markets
	Management,				Limited
	LLC Retirement				
18-cv-09549	Plan	Bluegrass	Bluegrass	Global Equities	ED & F Man
10-00-09349	Autoparts Pensions Group	Investment	Investment	GmbH	Capital Markets
	Trust	Management,	Management,	Gillori	Limited; BNP
		LLC; Bernard	LLC; Bernard		Paribas
		Tew	Tew		
18-cv-09552	MSJJ Retirement	Bluegrass	Bluegrass	Global Equities	ED & F Man
	Group Trust	Investment	Investment	GmbH	Capital Markets
		Management,	Management,		Limited
		LLC; Bernard Tew	LLC; Bernard Tew; George		
		1 CW	Hofmeister		
18-cv-09565	The 78 Yorktown	Roger Lehman	Roger Lehman	Syntax GIS	West Point
	Pension Plan				Derivatives Ltd
18-cv-09570	The Cambridge	Roger Lehman	Roger Lehman	Syntax GIS	Solo Capital
	Town Line				Partners LLP
18-cv-09587	Pension Plan The Diamond	Roger Lehman	Roger Lehman	Syntax GIS	Old Park Lane
10-64-09367	Scott Capital	Roger Leimian	Roger Leiman	Dynian Oib	Capital PLC;
	Pension Plan				Telesto Markets
					LLP
18-cv-09588	The Hotel	Roger Lehman	Roger Lehman	Syntax GIS	Old Park Lane
	Fromance				Capital PLC
18-cv-09589	Pension Plan The Mountain Air	Roger Lehman	Roger Lehman	Syntax GIS	Solo Capital
10-64-09309	LLC 401K Plan	Roger Leillian	Roger Leillian	Symax Ois	Partners LLP; Old
	DEC TOTAL I IIII				Park Lane Capital
					PLC
18-cv-09590	The SKSL LLC	Roger Lehman	Roger Lehman	Goal Taxback	Old Park Lane
	Pension Plan			Limited	Capital PLC;
					Telesto Markets
					LLP

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Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
18-cv-09650	The Snow Hill	Roger Lehman	Roger Lehman	Goal Taxback	West Point
	Pension Plan			Limited	Derivative Ltd; Old Park Lane
					Capital PLC
18-cv-09665	The Westridge	Roger Lehman	Roger Lehman	Syntax GIS	Telesto Markets
10 01 03002	Ave LLC 401K	rtoger Deminan	Troger Zemman	Symun GIS	LLP
	Plan				
18-cv-09666	The Shapiro Blue	Roger Lehman	Roger Lehman	Acupay System	Old Park Lane
	Management LLC			LLC	Capital PLC
	401K Plan				
18-cv-09668	The Patrick	Roger Lehman	Roger Lehman	Syntax GIS	Solo Capital
	Partners				Partners LLP
	Conglomerate Pension Plan				
18-cv-09669	CSCC Capital	Roger Lehman	Roger Lehman	Acupay System	Old Park Lane
10-64-07007	Pension Plan	Roger Leiman	Roger Lemman	LLC	Capital PLC
18-cv-09797	DW Construction,	Stacey Kaminer	Stacey	Goal Taxback	ED & F Man
	Inc. Retirement		Kaminer; Acer	Limited	Capital Markets
	Plan		Investment		Limited
			Group, LLC;		
10 00026	IZ	Ct V	Darren Wittwer	C - 1 T - 1 - 1	ED 0 EM
18-cv-09836	Kamco Investments, Inc.	Stacey Kaminer	Stacey Kaminer; Acer	Goal Taxback Limited	ED & F Man
	Pension Plan		Investment	Limited	Capital Markets Limited
	1 chsion 1 lan		Group, LLC;		Lillited
			Louise Kaminer		
18-cv-09837	Kamco LP Profit	Stacey Kaminer	Stacey	Goal Taxback	ED & F Man
	Sharing Pension		Kaminer; Acer	Limited	Capital Markets
	Plan		Investment		Limited
10 00000			Group, LLC	~ 1	
18-cv-09838	Linden Associates	Joan Schulman	Joan Schulman;	Goal Taxback	ED & F Man
	Defined Benefit Plan		Acer Investment	Limited	Capital Markets Limited
	Fiaii		Group, LLC		Lillited
18-cv-09839	Moira Associates	Stacey Kaminer	Stacey	Goal Taxback	ED & F Man
10 0. 0000	LLC 401 (K) Plan	20000 1201111101	Kaminer; Acer	Limited	Capital Markets
	, ,		Investment		Limited
			Group, LLC		
18-cv-09840	Riverside	David Schulman	David	Goal Taxback	ED & F Man
	Associates		Schulman; Acer	Limited	Capital Markets
	Defined Benefit Plan		Investment		Limited
18-cv-09841	American	Stacey Kaminer	Group, LLC Stacey	Goal Taxback	ED & F Man
10 0, 0,011	Investment Group	Stace y Ixamimoi	Kaminer; Acer	Limited	Capital Markets
	of New York,		Investment		Limited
	L.P. Pension Plan		Group, LLC;		
			Robert Crema		
18-cv-10028	The Cardinal	Matthew Tucci	Matthew Tucci	Goal TaxBack	Telesto Markets
	Consulting			Limited	LLP
10 10020	Pension Plan	Mad T	Mad T	G 4 GTG	W . D
18-cv-10030	The Egret	Matthew Tucci	Matthew Tucci	Syntax GIS	West Point
	Associates LLC 401K Plan				Derivatives Ltd
18-cv-10031	The Crow	Matthew Tucci	Matthew Tucci	Syntax GIS	Solo Capital
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Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
10 10076	Pension Plan	Maria To 1	Maria To 1	LLC	LLP
18-cv-10076	The Hibiscus	Matthew Tucci	Matthew Tucci	Goal TaxBack	Old Park Lane
	Partners LLC 401K Plan			Limited	Capital PLC; Solo
	401K Plan				Capital Partners LLP
18-cv-10077	The Maple	Matthew Tucci	Matthew Tucci	Syntax GIS	Solo Capital
10-CV-100//	Advisors LLC	Maturew Tucci	Whatthew Tucci	Sylliax Ols	Partners LLP;
	401K Plan				Telesto Markets
	.011111				LLP
18-cv-10080	OneZeroFive	Matthew Tucci	Matthew Tucci	Acupay System	Solo Capital
	LLC Solo 401K			LLC	Partners LLP
	Plan				
18-cv-10082	The Sea Bright	Matthew Tucci	Matthew Tucci	Goal TaxBack	West Point
	Advisors LLC			Limited	Derivatives Ltd;
	401K Plan				Old Park Lane
10 10002	TI T D I	Maria III	Maria To 1	G , GIG	Capital PLC
18-cv-10083	The Tag Realty Advisors LLC	Matthew Tucci	Matthew Tucci	Syntax GIS	Old Park Lane Capital PLC; Solo
	401K Plan				Capital Partners
	TOTICTIAN				LLP
18-cv-10086	The	Matthew Tucci	Matthew Tucci	Acupay System	Solo Capital
	Throckmorton			LLC	Partners LLP;
	Advisors 401K				West Point
	Plan				Derivatives Ltd
18-cv-10088	Acorn Capital	Gregory Summers	Gregory	Acupay System	Solo Capital
	Corporation		Summers	LLC	Partners LLP; ED
	Employee Profit				& F Man Capital
	Sharing Plan; and				Markets Limited
	Acorn Capital				
	Strategies LLC Employee				
	Pension Profit				
	Sharing Plan &				
	Trust				
18-cv-10090	Cambridge Way	Shreepal Shah	Shreepal Shah	Acupay System	ED & F Man
	LLC 401K Profit	1	1	LLC	Capital Markets
	Sharing Plan				Limited
18-cv-10091	The Dink 14 LLC	Jonathan Godson	Vincent Natoli	Goal TaxBack	Solo Capital
10 1000	401K Plan	D	D 7 1	Limited	Partners LLP
18-cv-10092	JML Capital LLC	Roger Lehman	Roger Lehman;	Syntax GIS	Old Park Lane
18-cv-10093	401K Plan Natoli	Roger Lehman	John LaChance Roger Lehman;	Syntax GIS	Capital PLC Old Park Lane
10-64-10093	Management	Roger Leillian	Vincent Natoli	Symax Ois	Capital PLC
	Pension Plan		v moont ivatori		Capital I LC
18-cv-10094	Nova Fonta	Roger Lehman	Roger Lehman;	Syntax GIS	Old Park Lane
	Trading LLC		Vincent Natoli		Capital PLC
	401K Plan				1
18-cv-10095	The Skybax LLC	Jonathan Godson	Bradley	Goal TaxBack	Solo Capital
	401K Plan		Crescenzo	Limited	Partners LLP
18-cv-10096	The Robin Daniel	Matthew Tucci	Matthew Tucci	Goal TaxBack	West Point
10 10000	Pension Plan	D 11	D 11	Limited	Derivatives Ltd
18-cv-10098	The FWC Capital	Roger Lehman	Roger Lehman	Syntax GIS	Solo Capital
	LLC Pension Plan				Partners LLP; Old
	l				Park Lane Capital

		Authorized	Other	Payment	Broker-
Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
		•	, ,	, ,	PLC
18-cv-10099	The RDL	Roger Lehman	Roger Lehman	Syntax GIS	Old Park Lane
	Consulting Group				Capital PLC
	LLC Pension Plan				
18-cv-10100	Newsong	Alexander Jamie	Acer	Goal Taxback	ED & F Man
	Fellowship	Mitchell III	Investment	Limited	Capital Markets
	Church 401(K)		Group, LLC;		Limited
	Plan		Alexander Jamie Mitchell		
			III		
18-cv-10118	Cole Enterprises	Todd Bergeron	Todd Bergeron;	Goal Taxback	Salgado Capital
10 01 10110	USA Retirement	Toda Bergeron	Kevin Kenning	Limited	Suigudo Cupitai
	Plan Trust				
18-cv-10119	Blackrain Pegasus	Doston Bradley	Doston Bradley	Acupay System	Solo Capital
	LLC Solo 401K	-		LLC	Partners LLP
	Plan				
18-cv-10122	Gyos 23 LLC	Doston Bradley	Doston Bradley	Acupay System	Solo Capital
10 10105	Solo 401K Plan	.	D . D	LLC	Partners LLP
18-cv-10123	The Oak Tree	Doston Bradley	Doston Bradley	Goal Taxback	Telesto Markets
18-cv-10124	One 401K Plan The Joanne E.	Dantan Duadlan	Dantan Duadlan	Limited	LLP
18-cv-10124	Bradley Solo	Doston Bradley	Doston Bradley	Acupay System LLC	Solo Capital Partners LLP
	401K Plan			LLC	Tatulers LLI
18-cv-10125	Delgado Fox LLC	Doston Bradley	Doston Bradley	Acupay System	Solo Capital
10 01 10120	Solo 401K Plan	Desien Braure	Bestein Brauncy	LLC	Partners LLP
18-cv-10126	Pegasus Fox 23	Doston Bradley	Doston Bradley	Acupay System	Solo Capital
	LLC Solo 401K	-		LLC	Partners LLP
	Plan				
18-cv-10127	KK Law Firm	Kevin Kenning	Kevin Kenning	Goal Taxback	ED & F Man
	Retirement Plan			Limited	Capital Markets
18-cv-10129	Trust The Valerius LLC	D I .1	D I . I	Goal Taxback	Limited; Investec
18-CV-10129	Solo 401K Plan	Roger Lehman	Roger Lehman	Limited	Solo Capital Partners LLP
18-cv-10130	Tveter LLC	Christopher	Christopher	Goal Taxback	ED & F Man
16-64-10130	Pension Plan	Nowell	Nowell	Limited	Capital Markets
	1 chistori 1 tari	TTOWEII	1 to well	Limited	Limited
18-cv-10133	The Sinclair	Roger Lehman	Roger Lehman	Syntax GIS	West Point
	Pension Plan	S			Derivatives Ltd
18-cv-10134	The Green Group	Roger Lehman	Roger Lehman	Syntax GIS	Solo Capital
	Site Pension Plan				Partners LLP
18-cv-10135	The Mueller	Roger Lehman	Roger Lehman	Syntax GIS	Solo Capital
	Investments				Partners LLP
10 10126	Pension Plan	D I - 1	Damas I dama	Carrata CTC	T-14- M14
18-cv-10136	The Bella Consultants	Roger Lehman	Roger Lehman	Syntax GIS	Telesto Markets LLP
	Pension Plan				LLF
18-cv-10137	Blue Ocean	Kevin Kenning	Todd Bergeron;	Goal Taxback	Salgado Capital
10 OV-1013/	Equity LLC	Kevin Kenning	Kevin Kenning	Limited	Saigado Capitai
	Retirement Plan		g		
	Trust				

		Authorized	Other	Payment	Broker –
Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
19-cv-01867	Avanix	Richard Markowitz	Richard	Acupay System	Solo Capital
	Management		Markowitz;	LLC	Partners LLP; Old
	LLC Roth 401(K)		Michael Ben-		Park Lane Capital
	Plan		Jacob		PLC
19-cv-01895	Batavia Capital	"Non-party	Richard	Acupay System	Solo Capital
	Pension Plan	Authorized	Markowitz	LLC	Partners LLP
		Representative"			
19-cv-01904	Calypso	"Non-party	Jocelyn	Goal Taxback	Solo Capital
	Investments	Authorized	Markowitz	Limited	Partners LLP
	Pension Plan	Representative"			
19-cv-01869	Cavus Systems	Richard Markowitz	Richard	Goal Taxback	Telesto Markets
	LLC Roth 401(K)		Markowitz;	Limited	LLP
	Plan		Michael Ben-		
			Jacob		
19-cv-01868	Hadron Industries	Richard Markowitz	Richard	Syntax GIS	West Point
	LLC Roth 401(K)		Markowitz;		Derivatives Ltd;
	Plan		Michael Ben-		Old Park Lane
			Jacob		Capital PLC
19-cv-01898	RJM Capital	"Non-party	Richard	Goal Taxback	Solo Capital
	Pension Plan	Authorized	Markowitz	Limited	Partners LLP
		Representative"			
19-cv-01896	Routt Capital	Richard Markowitz	Richard	Acupay System	Old Park Lane
	Pension Plan		Markowitz;	LLC; Syntax	Capital PLC;
			Michael Ben-	GIS	Indigo Securities
			Jacob		Ltd
19-cv-01906	Michelle	John van	Richard	Acupay System	Solo Capital
	Investments	Merkensteijn	Markowitz;	LLC	Partners LLP
	Pension Plan		John van		
			Merkensteijn		
19-cv-01911	Remece	"Non-party	Richard	Goal Taxback	Solo Capital
	Investments LLC	Authorized	Markowitz;	Limited	Partners LLP
	Pension Plan	Representative"	John van		
40 01:-:	77. 11. 7		Merkensteijn	-	
19-cv-01924	Xiphias LLC	John van	Richard	Acupay System	Solo Capital
	Pension Plan	Merkensteijn	Markowitz;	LLC	Partners LLP
			John van		
10 01000		(2.7	Merkensteijn		
19-cv-01893	Azalea Pension	"Non-party	Elizabeth van	Acupay System	Solo Capital
	Plan	Authorized	Merkensteijn	LLC	Partners LLP
10 01066	D 1. 77	Representative"	т 1	0.15	T 1 . 34 1 .
19-cv-01866	Basalt Ventures	John van	John van	Goal Taxback	Telesto Markets
	LLC Roth 401(K)	Merkensteijn	Merkensteijn;	Limited	LLP; Old Park
	Plan		Michael Ben-		Lane Capital PLC
10 01065	D' D'	Т.1	Jacob	A C	G.1. G. '- 1
19-cv-01865	Bernina Pension	John van	John van	Acupay System	Solo Capital
10 01004	Plan	Merkensteijn	Merkensteijn	LLC	Partners LLP
19-cv-01894	Omineca Pension	Michael Ben-Jacob	John van	Acupay System	Solo Capital
	Plan		Merkensteijn;	LLC; Goal	Partners LLP; Old
			Michael Ben-	TaxBack	Park Lane Capital
10 01071	Ct. C.1 C 't 1	Т.1	Jacob	Limited	PLC
19-cv-01871	Starfish Capital	John van	John van	Syntax GIS	Old Park Lane

		Authorized	Other	D 4	D1
Case Number	Plan	Representative	Other Defendant(s)	Payment Agent(s)	Broker – Custodian(s)
Case Nullibel	Management	Merkensteijn	Merkensteijn;	Agenu(s)	Capital PLC
	LLC Roth 401(K)	Wierkensteijn	Michael Ben-		Capital 1 LC
	Plan		Jacob		
19-cv-01930	Tarvos Pension	"Non-party	John van	Goal Taxback	Solo Capital
	Plan	Authorized	Merkensteijn	Limited	Partners LLP
		Representative"	,		
19-cv-01873	Voojo	John van	John van	Acupay System	Old Park Lane
	Productions LLC	Merkensteijn	Merkensteijn;	LLC	Capital PLC
	Roth 401(K) Plan		Michael Ben-		
			Jacob		
19-cv-01785	Albedo	Michael Ben-Jacob	Joseph	Acupay System	Solo Capital
	Management		Herman;	LLC	Partners LLP; Old
	LLC Roth 401(K)		Michael Ben-		Park Lane Capital
	Plan		Jacob; Robert		PLC
			Klugman; Richard		
			Markowitz;		
			Avanix		
			Management		
			LLC		
19-cv-01781	Ballast Ventures	Michael Ben-Jacob	Joseph	Acupay System	Telesto Markets
	LLC Roth 401(K)		Herman;	LLC	LLP; Old Park
	Plan		Michael Ben-		Lane Capital PLC
			Jacob; Robert		
			Klugman;		
			Richard		
			Markowitz;		
			RAK		
			Investment		
			Trust; Cavus Systems LLC		
19-cv-01791	Fairlie	Joseph Herman	Joseph	Goal TaxBack	West Point
17 64 01/71	Investments LLC	Joseph Herman	Herman;	Limited	Derivatives Ltd;
	Roth 401(K) Plan		Michael Ben-	2	Old Park Lane
			Jacob; Robert		Capital PLC
			Klugman;		1
			Richard		
			Markowitz;		
			RAK		
			Investment		
			Trust; Hadron Industries LLC		
19-cv-01783	Bareroot Capital	David Zelman	David Zelman;	Syntax GIS	Telesto Markets
19-64-01/83	Investments LLC	David Zeiiiiaii	Michael Ben-	Symax Ols	LLP; Old Park
	Roth 401(K) Plan		Jacob; Robert		Lane Capital PLC
	Tom for(ix) I fall		Klugman;		Lanc Suprair LC
			Richard		
			Markowitz;		
			RAK		
			Investment		
			Trust; Routt		
			Capital Trust		
19-cv-01794	Battu Holdings	Michael Ben-	David Zelman;	Acupay System	Solo Capital
	LLC Roth 401K	Jacob	Michael Ben-	LLC	Partners LLP; Old
	Plan		Jacob; Robert		Park Lane Capital

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Case Number	Plan	Authorized Representative	Other Defendant(s)	Payment Agent(s)	Broker – Custodian(s)
Case Number	1 1411	Representative	Klugman;	Agent(s)	PLC
			John van		I LC
			Merkensteijn;		
			RAK		
			Investment		
			Trust; Omineca		
			Trust		
19-cv-01798	Cantata Industries	David Zelman	David Zelman;	Goal TaxBack	Old Park Lane
	LLC Roth 401(K)		Michael Ben-	Limited	Capital PLC
	Plan		Jacob; Robert		
			Klugman;		
			John van		
			Merkensteijn; RAK		
			Investment		
			Trust; Omineca		
			Trust		
19-cv-01788	Dicot	David Zelman	David Zelman;	Syntax GIS	West Point
	Technologies		Michael Ben-	J	Derivatives Ltd;
	LLC Roth 401(K)		Jacob; Robert		Old Park Lane
	Plan		Klugman;		Capital PLC
			John van		
			Merkensteijn;		
			RAK		
			Investment Trust; Omineca		
			Trust		
19-cv-01918	Vanderlee	Michael Ben-	Vanderlee	Acupay System	Solo Capital
	Technologies	Jacob	Technologies	LLC; Goal	Partners LLP; Old
	Pension Plan		Pension Plan	TaxBack	Park Lane Capital
			Trust; David	Limited	PLC
			Zelman;		
			Michael Ben-		
			Jacob; Robert		
			Klugman;		
			John van		
			Merkensteijn; RAK		
			Investment		
			Trust; Omineca		
			Trust		
19-cv-01922	Cedar Hill	Edwin Miller	Edwin Miller;	Goal TaxBack	Old Park Lane
	Capital		Michael Ben-	Limited	Capital PLC
	Investments LLC		Jacob; Robert		
	Roth 401(K) Plan		Klugman;		
			Richard		
			Markowitz; RAK		
			Investment		
			Trust; Routt		
			Capital Trust		
19-cv-01928	Fulcrum	Edwin Miller	Edwin Miller;	Syntax GIS	Telesto Markets
	Productions LLC		Michael Ben-	-	LLP; Old Park
	Roth 401(K) Plan		Jacob; Robert		Lane Capital PLC
			Klugman;		

		A411	O41	D	D.,.1
Case Number	Plan	Authorized Representative	Other Defendant(s)	Payment Agent(s)	Broker – Custodian(s)
Case Nullibel	1 1411	Representative	John van	Agenu(s)	Custodian(s)
			Merkensteijn;		
			RAK		
			Investment		
			Trust; Omineca		
			Trust		
19-cv-01926	Green Scale	Michael Ben-	Edwin Miller;	Acupay System	Solo Capital
	Management	Jacob	Michael Ben-	LLC	Partners LLP; Old
	LLC Roth 401(K)		Jacob; Robert		Park Lane Capital
	Plan		Klugman;		PLC
			Richard		
			Markowitz;		
			RAK		
			Investment		
			Trust; Routt		
10 01020	V	Edwin Miller	Capital Trust	Goal TaxBack	Old D1- 1
19-cv-01929	Keystone Technologies	Edwin Miller	Edwin Miller; Michael Ben-	Limited	Old Park Lane
	LLC Roth 401(K)		Jacob; Robert	Limited	Capital PLC
	Plan		Klugman;		
	1 1411		Richard		
			Markowitz;		
			RAK		
			Investment		
			Trust; Routt		
			Capital Trust		
19-cv-01931	Tumba Systems	Edwin Miller	Edwin Miller;	Syntax GIS	West Point
	LLC Roth 401(K)		Michael Ben-		Derivatives Ltd;
	Plan		Jacob; Robert		Old Park Lane
			Klugman;		Capital PLC
			John van		
			Merkensteijn;		
			RAK Investment		
			Trust; Omineca		
			Trust		
19-cv-01800	Crucible	Ronald Altbach	Ronald	Goal TaxBack	Old Park Lane
1, 3, 01000	Ventures LLC	Tonaia intouvii	Altbach;	Limited	Capital PLC
	Roth 401(K) Plan		Michael Ben-		
			Jacob; Robert		
			Klugman;		
			John van		
			Merkensteijn;		
			RAK		
			Investment		
			Trust; Omineca		
10 01002	T' 1' 1 CT 1 T	D 11 41.1 1	Trust	G . GTG	m 1 , 3 , 1 ;
19-cv-01803	Limelight Global	Ronald Altbach	Ronald	Syntax GIS	Telesto Markets
	Productions LLC		Altbach; Michael Ben-		LLP; Old Park
	Roth 401(K) Plan		Jacob; Robert		Lane Capital PLC
			Klugman;		
			John van		
			Merkensteijn;		
			RAK		
	l		IWIX		<u> </u>

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C N 1	DI	Authorized	Other	Payment	Broker –
Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
			Investment Trust; Omineca		
			Trust		
19-cv-01809	Plumrose	Michael Ben-	Ronald	Acupay System	Old Park Lane
19-64-01009	Industries LLC	Jacob	Altbach;	LLC	Capital PLC
	Roth 401K Plan	34000	Michael Ben-	LLC	Capital I LC
	110011 1011111		Jacob; Robert		
			Klugman;		
			John van		
			Merkensteijn;		
			RAK		
			Investment		
			Trust; Omineca		
10 01010	7 1 2	5 11 11 1	Trust	~ 1 = 5 1	
19-cv-01812	Roadcraft	Ronald Altbach	Ronald	Goal TaxBack	Solo Capital
	Technologies		Altbach; Michael Ben-	Limited	Partners LLP; Old
	LLC Roth 401(K) Plan		Jacob; Robert		Park Lane Capital PLC
	Fiaii		Klugman;		FLC
			Riuginan, Richard		
			Markowitz;		
			RAK		
			Investment		
			Trust; Routt		
			Capital Trust		
19-cv-01818	True Wind	Ronald Altbach	Ronald	Syntax GIS	West Point
	Investments LLC		Altbach;		Derivatives Ltd;
	Roth 401(K) Plan		Michael Ben-		Old Park Lane
			Jacob; Robert		Capital PLC
			Klugman; John van		
			Merkensteijn;		
			RAK		
			Investment		
			Trust; Omineca		
			Trust		
19-cv-01870	Eclouge Industry	Perry Lerner	Perry Lerner;	Goal TaxBack	Old Park Lane
	LLC Roth 401(K)		Michael Ben-	Limited	Capital PLC
	Plan		Jacob; Robert		
			Klugman;		
			Richard Markowitz;		
			RAK		
			Investment		
			Trust; Routt		
			Capital Trust		
19-cv-01792	First Ascent	Perry Lerner	Perry Lerner;	Syntax GIS	Telesto Markets
	Worldwide LLC	-	Michael Ben-	_	LLP; Old Park
	Roth 401(K) Plan		Jacob; Robert		Lane Capital PLC
			Klugman;		
			Richard		
			Markowitz;		
			RAK Investment		
			Investment		
			Trust; Routt		

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G N 1	DI.	Authorized	Other	Payment	Broker –
Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
19-cv-01806	Laggarhand	Michael Ben-Jacob	Capital Trust	A aymay Cristana	Sala Camital
19-cv-01806	Loggerhead Services LLC	Wiichael Ben-Jacob	Perry Lerner; Michael Ben-	Acupay System LLC	Solo Capital
	Roth 401(K) Plan		Jacob; Robert	LLC	Partners LLP; Old
	Kotii 401(K) Fiaii		Klugman;		Park Lane Capital PLC
			Richard		TLC
			Markowitz;		
			RAK		
			Investment		
			Trust; Routt		
			Capital Trust		
19-cv-01808	PAB Facilities	Perry Lerner	Perry Lerner;	Goal TaxBack	Old Park Lane
	Global LLC Roth		Michael Ben-	Limited	Capital PLC
	401(K) Plan		Jacob; Robert		
			Klugman;		
			Richard		
			Markowitz;		
			RAK Investment		
			Trust; Routt		
			Capital Trust		
19-cv-01815	Trailing Edge	Perry Lerner	Perry Lerner;	Syntax GIS	West Point
	Productions LLC	,	Michael Ben-		Derivatives Ltd;
	Roth 401(K) Plan		Jacob; Robert		Old Park Lane
			Klugman;		Capital PLC
			Richard		
			Markowitz;		
			RAK		
			Investment		
			Trust; Routt		
19-cv-01801	Monomer	Robin Jones	Capital Trust Robin Jones;	Goal TaxBack	Old Park Lane
19-60-01801	Industries LLC	Room Jones	Michael Ben-	Limited	Capital PLC
	Roth 401(K) Plan		Jacob; Robert	Limited	Capital I LC
	Roth 401(K) 1 tan		Klugman;		
			John van		
			Merkensteijn;		
			RAK		
			Investment		
			Trust; Omineca		
10 01010	D' 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	D 1 · T	Trust	0 . 010	T 1 . 3.5 1 .
19-cv-01810	Pinax Holdings	Robin Jones	Robin Jones;	Syntax GIS	Telesto Markets
	LLC Roth 401(K) Plan		Michael Ben- Jacob; Robert		LLP; Old Park
	1 1311		Klugman;		Lane Capital PLC
			John van		
			Merkensteijn;		
			RAK		
			Investment		
			Trust; Omineca		
			Trust		
19-cv-01813	Sternway	Robin Jones	Robin Jones;	Syntax GIS	West Point
	Logistics LLC		Michael Ben-		Derivatives Ltd;
	Roth 401(K) Plan		Jacob; Robert		Old Park Lane
			Klugman;		Capital PLC

		Authorized	Other	Payment	Broker –
Case Number	Plan	Representative	Defendant(s)	Agent(s)	Custodian(s)
		•	John van		,
			Merkensteijn;		
			RAK		
			Investment		
			Trust; Omineca		
			Trust		
19-cv-10713	2321Capital		Richard	Acupay System	Solo Capital
	Pension Plan;		Markowitz;	LLC; Goal	Partners LLP
	Bowline		John van	TaxBack	
	Management		Merkensteijn;	Limited	
	Pension Plan;		Bernina		
	California		Pension Plan		
	Catalog Company		Trust; RJM		
	Pension Plan;		Capital Pension		
	Clove Pension		Plan Trust		
	Plan; DFL Investments				
	Pension Plan;				
	Davin				
	Investments				
	Pension Plan;				
	Delvian LLC				
	Pension Plan;				
	Laegeler Asset				
	Management				
	Pension Plan;				
	Lion Advisory				
	Inc. Pension Plan;				
	Mill River				
	Capital				
	Management				
	Pension Plan;				
	Next Level				
	Pension Plan;				
	Rajan				
	Investments LLC				
	Pension Plan;				
	Spirit on the				
	Water Pension				
	Plan; Traden				
	Investments				
	Pension Plan				

CERTIFICATE OF SERVICE

The undersigned, an attorney, hereby certifies that on November 13, 2020, he caused a true copy of the foregoing to be served upon the following parties via electronic mail:

Marc Weinstein, Esq. Hughes Hubbard & Reed LLP One Battery Park Plaza New York, NY 10004

Counsel for Plaintiff SKAT

Dated: November 13, 2020 <u>S/ Mark D. Allison</u>

Mark D. Allison CAPLIN & DRYSDALE, CHARTERED 600 Lexington Avenue, 21st Floor New York, New York 10022 Email: mallison@capdale.com

Phone: (212) 379-6060 Fax: (212) 379-6001

Lead Counsel for Defendants